

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop N1-19-21  
Baltimore, Maryland 21244-1850



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## Notice of Compliance Review Closure – No Violations

Date of Notice: FULLDATE

CONTACTNAME  
JOBTITLE  
CENAME  
ADDRESS1  
ADDRESS2  
CITY, ST ZIP

Re: Compliance Review Number XXXXX

Dear FIRSTNAME LASTNAME:

On (month, day, year), the Department of Health and Human Services (HHS), National Standards Group (NSG) within the Centers for Medicare & Medicaid Services (CMS) finalized the <Covered Entity Name> 20XX compliance review.

The compliance review included an assessment of transactions, code sets, unique identifiers, and operating rules based on the <Covered Entity Name> artifacts submitted. It incorporated the use of a validation tool to determine HIPAA compliance with the applicable 5010 ASC X12 standards and implementation guides. In addition, it included a manual review of companion guides and operating rule attestations, if applicable.

This closure notice is to inform you that the Compliance Review for <Covered Entity Name> is complete and no violations were noted. No further action is required by <Covered Entity Name> at this time.

Thank you for working with us towards a successful resolution. We encourage all covered entities to periodically validate their electronic transactions using the ASETT validation tool as well as checking their processes for operating rule compliance.

If you have any questions regarding this notice, please send an email to [HIPAACompliance@cms.hhs.gov](mailto:HIPAACompliance@cms.hhs.gov). Please include the compliance review number located at the top of this notice.

Sincerely,  
**Michael Cimmino**  
Director, National Standards Group  
Office of Healthcare Experience and Interoperability  
Centers for Medicare & Medicaid Services